



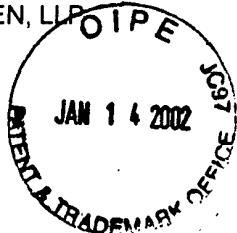
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/955,656         | 09/18/2001          | Charles A. Nicolette  | GZ 209600              |

30089

GENZYME CORPORATION C/O MCCUTCHEN, DOYLE, BROWN,  
& ENERSEN  
MCCUTCHEON, DOYLE, BROWN & ENERSEN, LLP  
THREE EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111



CONFIRMATION NO. 1157

## FORMALITIES LETTER



\*OC000000006892849\*

Date Mailed: 10/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/18/2002 BNNGUYEN1 00000041 501189 09955656

01 FC:105 130.00 CH



UH  
sectors  
#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**TRANSMITTAL  
FORM**

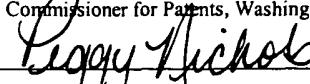
*(to be used for all correspondence after filing)*

Total number of Pages in this submission      10

|                      |                              |
|----------------------|------------------------------|
| Application Number   | 09/955,656                   |
| Filing Date          | September 18, 2001           |
| First Named Inventor | Charles A. Nicolette, et al. |
| Group Art Unit       | 1645                         |
| Examiner Name        | Unassigned                   |
| Attorney Docket No.  | GZ 2096.00                   |

**Box: Missing Parts**

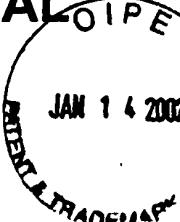
Commissioner for Patents  
Washington, D.C. 20231

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> FEE TRANSMITTAL FORM<br><input type="checkbox"/> FEE ATTACHED   | <input type="checkbox"/> AFTER ALLOWANCE<br>COMMUNICATION TO GROUP  |  |
| <input type="checkbox"/> AMENDMENT/RESPONSE<br><input type="checkbox"/> AFTER FINAL<br><input type="checkbox"/> AFFIDAVITS' DECLARATION(S)  | <input type="checkbox"/> ASSIGNMENT PAPERS (COPY)<br>(FOR AN APPLICATION)<br><input type="checkbox"/> DRAWING(S)<br><input type="checkbox"/> LICENSING-RELATED<br>PAPERS<br><input type="checkbox"/> PETITION ROUTING SLIP<br>(PTO/SB/60) AND<br>ACCOMPANYING<br>PETITION | <input type="checkbox"/> APPEAL COMMUNICATION TO BOARD<br>OF APPEALS AND INTERFERENCES   |
| <input checked="" type="checkbox"/> EXTENSION OF TIME (ONE-MONTH)   | <input type="checkbox"/> TO CONVERT A<br>PROVISIONAL<br>APPLICATION   | <input type="checkbox"/> APPEAL COMMUNIATION TO GROUP<br>(APPEAL NOTICE, BRIEF, REPLY BRIEF)   |
| <input type="checkbox"/> EXPRESS ABANDONMENT REQUEST  | <input type="checkbox"/> POWER OF ATTORNEY,<br>REVOCATION CHANGE OF<br>CORRESPONDENCE ADDRESS   | <input type="checkbox"/> PROPRIETARY INFORMATION   |
| <input type="checkbox"/> INFORMATION DISCLOSURE<br>STATEMENT W/1449   | <input type="checkbox"/> TERMINAL DISCLAIMER  | <input type="checkbox"/> STATUS LETTER   |
| <input type="checkbox"/> CERTIFIED COPY OF PRIORITY<br>DOCUMENT(S)  | <input type="checkbox"/> SMALL ENTITY STATEMENT   | <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S)<br><i>(PLEASE IDENTIFY BELOW):</i><br>COPY OF NOTICE TO FILE MISSING PARTS DATED<br>10/12/01, DECLARATION FOR UTILITY APPL.,<br>PROSECUTION BY ASSIGNEE AND POWER OF<br>ATTORNEY, CERTIFICATE UNDER 37 C.F.R. § 3.73(B)<br>W/COPY OF JOINT ASSIGNMENT AND RETURN<br>POSTCARD |
| <input type="checkbox"/> RESPONSE TO MISSING PARTS/<br>INCOMPLETE APPLICATION   | <input type="checkbox"/> REQUEST FOR REFUND   |  |
| <input checked="" type="checkbox"/> RESPONSE TO MISSING PARTS UNDER<br>37 CFR 1.52 OR 1.53<br>COPY OF PTO-1533  |   |  |
| <input type="checkbox"/> ISSUE FEE TRANSMITTAL<br><input type="checkbox"/>  | REMARKS:  |  |
| MCCUTCHEON, DOYLE, BROWN & ENERSEN LLP<br>THREE EMBARCADERO CENTER, SUITE 1800<br>SAN FRANCISCO, CA 94111<br>TELEPHONE: (650) 849-4950<br>FACSIMILE: (650) 849-4800   | DATE: DECEMBER 10, 2001<br>BY: <br>ANTOINETTE F. KONISKI (REG. NO. 34,202)  |  |
| <b>CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"</b><br>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: <b>Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231</b> on this date: <u>December 10, 2001</u> |   |  |
| By:    | Name of person signing: Peggy Nichols   |  |

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.



Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | 09/955,656           |
| Filing Date          | September 18, 2001   |
| First Named Inventor | Charles A. Nicolette |
| Examiner Name        | Unassigned           |
| Group Art Unit       | 1645                 |
| Attorney Docket No.  | GZ 2096.00           |

## TOTAL AMOUNT OF PAYMENT

(\$ 130.00)

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1189**

Deposit Account Name **McCutchen Doyle Brown & Enersen LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued)

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     |          |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |

SUBTOTAL (1) **(\$)**

## 2. EXTRA CLAIM FEES

| Total Claims       | - 20** = | Extra Claims | Fee from below | Fee Paid |
|--------------------|----------|--------------|----------------|----------|
| Independent Claims | - 3** =  | *            | x              | = \$     |

## Multiple Dependent

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 64              | 202            | 42              | Independent claims in excess of 3                         |
| 104            | 280             | 204            | 140             | Multiple dependent claims, if not paid                    |
| 109            | 84              | 209            | 42              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) **(\$)**

\*\* or number previously paid, if greater; For reissues, see above.

## 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  | 130.00   |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                       |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for ex parte reexamination                              |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                       |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                          |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                       |          |
| 116            | 400             | 216            | 200             | Extension for reply within second month                                      |          |
| 117            | 920             | 217            | 460             | Extension for reply within third month                                       |          |
| 118            | 1,440           | 218            | 720             | Extension for reply within fourth month                                      |          |
| 128            | 1,960           | 228            | 980             | Extension for reply within fifth month                                       |          |
| 119            | 330             | 219            | 160             | Notice of Appeal   |          |
| 120            | 330             | 220            | 160             | Filing a brief in support of an appeal                                       |          |
| 121            | 280             | 221            | 140             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                                |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,280           | 241            | 640             | Petition to revive - unintentional   |          |
| 142            | 1,280           | 242            | 640             | Utility issue fee (or reissue)   |          |
| 143            | 460             | 243            | 230             | Design issue fee   |          |
| 144            | 620             | 244            | 310             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions of the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                                |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                    |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per properties (times number of properties) |          |
| 146            | 740             | 246            | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 149            | 740             | 249            | 370             | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 179            | 740             | 279            | 370             | Request for Continued Examination (RCE)                                      |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                    |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 130.00

Complete (if applicable)

|                   |                      |                                      |        |                                 |
|-------------------|----------------------|--------------------------------------|--------|---------------------------------|
| Name (Print/Type) | Antoinette F. Konski | Registration No.<br>(Attorney/Agent) | 34,202 | Telephone <b>(650) 849-4950</b> |
| Signature         |                      |                                      |        |                                 |
| Date              | Dec. 10, 2011        |                                      |        |                                 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.